

Test Report No. CANEC1917824403 Date: 18 Sep 2019 Page 1 of 8

GUANG ZHOU SHI TIAN XIN PHOTOELECTRICITY CO.,LTD

#15-1, JINGU SOUTH AVENUE, XIUTANG VILLAGE, HUADONG TOWN, HUADU DISTRICT, GUANGZHOU, GUANGDONG **CHINA**

The following sample(s) was/were submitted and identified on behalf of the clients as: Mid-high power white

LED with lens

CP19-049844 - GZ SGS Job No.:

Date of Sample Received: 09 Sep 2019

09 Sep 2019 - 17 Sep 2019 Testing Period:

Test Requested: Selected test(s) as requested by client.

Test Method: Please refer to next page(s).

Test Results: Please refer to next page(s).

Based on the performed tests on submitted sample(s), the results of Lead, Conclusion:

Mercury, Cadmium, Hexavalent chromium, Polybrominated biphenyls (PBBs),

Polybrominated diphenyl ethers (PBDEs) and Phthalates such as

Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl

phthalate (DBP), and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.

Signed for and on behalf of SGS-CSTC Standards Technical Services Co., Ltd. Guangzhou Branch

Violet,Shi

Approved Signatory

Volet Shi



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443.

testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

198 Kezhu Road, Scientech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



Test Report No. CANEC1917824403 Date: 18 Sep 2019 Page 2 of 8

Test Results:

Test Part Description:

Specimen No.	SGS Sample ID	Description
SN1	CAN19-178244.003	Colorless transparent plastic shell
SN2	CAN19-178244.004	Colorless transparent material
SN3	CAN19-178244.005	White plastic
SN4	CAN19-178244.006	Silvey metal

Remarks:

- (1) 1 mg/kg = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected (< MDL)
- (4) "-" = Not Regulated

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method: With reference to IEC 62321-4:2013+A1:2017, IEC 62321-5:2013, IEC 62321-7-2:2017, IEC 62321-6:2015 and IEC 62321-8:2017, analyzed by ICP-OES, UV-Vis and GC-MS.

Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>003</u>	<u>004</u>	<u>005</u>
Cadmium (Cd)	100	mg/kg	2	ND	ND	ND
Lead (Pb)	1,000	mg/kg	2	ND	ND	ND
Mercury (Hg)	1,000	mg/kg	2	ND	ND	ND
Hexavalent Chromium (CrVI)	1,000	mg/kg	8	ND	ND	ND
Sum of PBBs	1,000	mg/kg	-	ND	ND	ND
Monobromobiphenyl	-	mg/kg	5	ND	ND	ND
Dibromobiphenyl	-	mg/kg	5	ND	ND	ND
Tribromobiphenyl	-	mg/kg	5	ND	ND	ND
Tetrabromobiphenyl	-	mg/kg	5	ND	ND	ND
Pentabromobiphenyl	-	mg/kg	5	ND	ND	ND
Hexabromobiphenyl	-	mg/kg	5	ND	ND	ND
Heptabromobiphenyl	-	mg/kg	5	ND	ND	ND
Octabromobiphenyl	-	mg/kg	5	ND	ND	ND
Nonabromobiphenyl	-	mg/kg	5	ND	ND	ND
Decabromobiphenyl	-	mg/kg	5	ND	ND	ND
Sum of PBDEs	1,000	mg/kg	-	ND	ND	ND
Monobromodiphenyl ether	-	mg/kg	5	ND	ND	ND



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

198 Kezhu Road, Scientech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86–20) 82155555 f (86–20) 82075113 www.sgsgroup.com.cn 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com 中国·广州·经济技术开发区科学城科珠路198号



Test Report	No. CANEC19178244	03	Date:	18 Sep 2019	Page 3	3 of 8
Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>003</u>	<u>004</u>	<u>005</u>
Dibromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Tribromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Tetrabromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Pentabromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Hexabromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Heptabromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Octabromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Nonabromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Decabromodiphenyl ether	-	mg/kg	5	ND	ND	ND
Dibutyl phthalate (DBP)	1,000	mg/kg	50	ND	ND	ND
Butyl benzyl phthalate (BBP)	1,000	mg/kg	50	ND	ND	ND
Bis (2-ethylhexyl) phthalate (DEHP)	1,000	mg/kg	50	ND	ND	ND
Diisobutyl Phthalates (DIBP)	1,000	mg/kg	50	ND	ND	ND

Notes:

- (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863.IEC 62321 series is equivalent to EN 62321 series
- https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID:1258
- (2) The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.
- (3) The restriction of DEHP, BBP, DBP and DIBP shall not apply to toys which are already subject to the restriction of DEHP, BBP, DBP and DIBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method: With reference to IEC 62321-4:2013+A1:2017, IEC 62321-5:2013, IEC 62321-7-1:2015, analyzed by ICP-OES and UV-Vis.

Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>006</u>
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1,000	mg/kg	2	ND
Mercury (Hg)	1,000	mg/kg	2	ND
Hexavalent Chromium (Cr(VI))▼	-	µg/cm²	0.10	ND

Notes:

(1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863. IEC 62321 series is equivalent to EN 62321 series



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 8307 1443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

198 Kezhu Road, Scientech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn 中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



No. CANEC1917824403 Date: 18 Sep 2019 Page 4 of 8

https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID:12586

- (2) ▼= a. The sample is positive for CrVI if the CrVI concentration is greater than 0.13 µg/cm². The sample coating is considered to contain CrVI
 - b. The sample is negative for CrVI if CrVI is ND (concentration less than 0.10 µg/cm²). The coating is considered a non-CrVI based coating
 - c. The result between 0.10 µg/cm² and 0.13 µg/cm² is considered to be inconclusive unavoidable coating variations may influence the determination

Information on storage conditions and production date of the tested sample is unavailable and thus Cr(VI) results represent status of the sample at the time of testing.



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,



Test Report No. CANEC1917824403

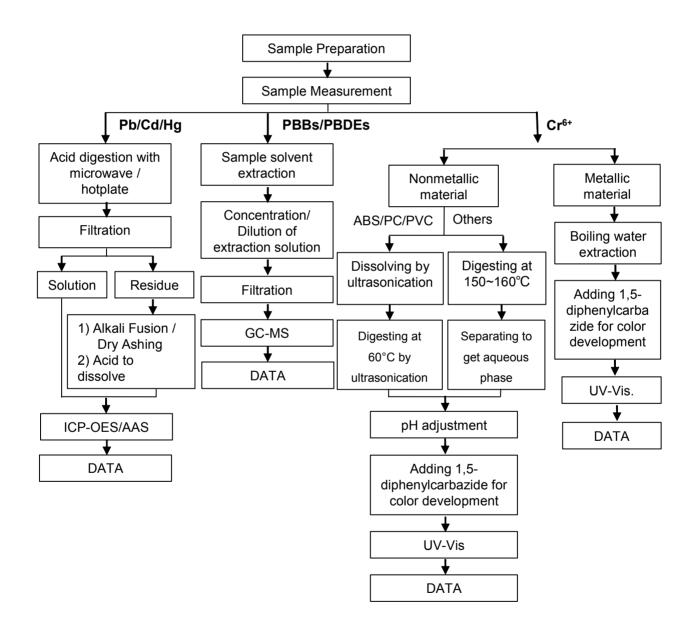
Page 5 of 8

Date: 18 Sep 2019

ATTACHMENTS

Pb/Cd/Hg/Cr6+/PBBs/PBDEs Testing Flow Chart

1) These samples were dissolved totally by pre -conditioning method according to below flow chart. (Cr6+ and PBBs/PBDEs test method excluded).





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,



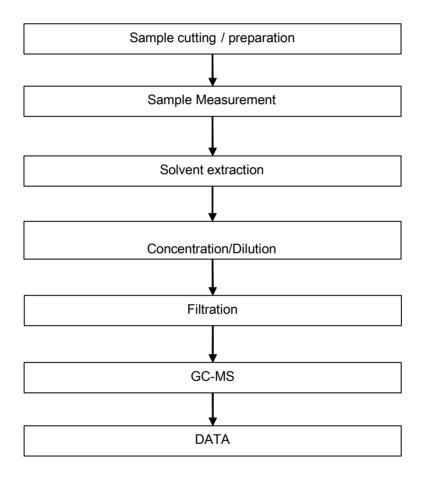
No. CANEC1917824403

Page 6 of 8

Date: 18 Sep 2019

ATTACHMENTS

Phthalates Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,



No. CANEC1917824403

Page 7 of 8

Date: 18 Sep 2019

Sample photo:







Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

198 Kezhu Road, Scientech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86–20) 82155555 f (86–20) 82075113 www.sgsgroup.com.cn 中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com



No. CANEC1917824403

Page 8 of 8

Date: 18 Sep 2019





SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

**Attention: To check the authenticity of testing //inspection report & certificate, please contact us at telephone: (86-755) 83071443.